



6. What is the source of assets to be dedicated to the Foundation? (i.e. from the Founder, who will the dedicators be?)

7. What is the source of wealth of the Founder?

9. Please provide the following information about the Founder:

First Name:			
Surname:			
Former name (e.g. maiden name)			
Address:			
	Town:	Country:	Post Code:
Tax Number:			
National Insurance Number, if applicable:			
Date of birth:			
Place of birth:			
Passport No:	Place of issue:		
Nationality:		Domicile:	
Telephone:		Fax:	
Email address:			

11. Please provide the following information for each of the council members :

First Name:	No need to complete if Cayman National Bank and Trust Company is acting in this capacity		
Surname:			
Former name (e.g. maiden name)			
Address:			
	Town:	Country:	Post Code:
Tax Number:			
National Insurance Number, if applicable:			
Date of birth:			
Place of birth:			
Passport No:	Place of issue:		
Nationality:		Domicile:	
Telephone:		Fax:	
Email address:			

First Name:			
Surname:			
Former name (e.g. maiden name)			
Address:			
	Town:	Country:	Post Code:
Tax Number:			
National Insurance Number, if applicable:			
Date of birth:			
Place of birth:			

Passport No:	Place of issue:		
Nationality:		Domicile:	
Telephone:		Fax:	
Email address:			

12. Please give details of enforcer (if applicable).

First Name:			
Surname:			
Former name (e.g. maiden name)			
Address:			
	Town:	Country:	Post Code:
Tax Number:			
National Insurance Number, if applicable:			
Date of birth:			
Place of birth:			
Passport No:	Place of issue:		
Nationality:		Domicile:	
Telephone:		Fax:	
Email address:			

13. Please give details of beneficiaries (if applicable).

First Name:	
Surname:	
Former name (e.g. maiden name)	
Address:	

	Town:	Country:	Post Code:
Tax Number:			
National Insurance Number, if applicable:			
Date of birth:			
Place of birth:			
Passport No:	Place of issue:		
Nationality:		Domicile:	
Telephone:		Fax:	
Email address:			

First Name:			
Surname:			
Former name (e.g. maiden name)			
Address:			
	Town:	Country:	Post Code:
Tax Number:			
National Insurance Number, if applicable:			
Date of birth:			
Place of birth:			
Passport No:	Place of issue:		
Nationality:		Domicile:	
Telephone:		Fax:	
Email address:			

14. Please give details of professional advisor

Name:	
Address:	
Tel:	Fax:

15. Please give details of investment advisor

Name:	
Address:	
Tel:	Fax:

16. Who will be responsible for maintaining accounting records?

17. Please provide the name and address of a banker and one other professional, or alternatively two professionals (lawyer, accountant etc) to whom we **may** apply for references in respect of the Founder.

Name:
Address:
Contact Name:
Tel:
Fax:

Name:
Address:
Contact Name:
Tel:
Fax:

18. Please provide a photocopy of the passport of the Founder, Council Members, if applicable the Enforcer, and if applicable the Beneficiaries, certified by a qualified professional, stating his/her full name and capacity, contact telephone number and the date when certified in the following terms:

*"I certify that this is a true copy of the original passport (birth certificate) of (insert full name) and that the photograph thereon is a true likeness of (insert full name)".*

19. **Self-Certification form:**

*Please provide a self-certification form for each and every shareholder and ultimate beneficial owner to the Company.*

**Important Note:**

Potential clients are recommended to take professional legal and taxation advice relevant to their personal circumstances and to their own jurisdiction prior to commencing any form of business relationship with Cayman National. Cayman National does not provide such advice directly but can assist clients to obtain independent professional advice if necessary. Where formal advice or opinion has been received in relation to the proposed arrangements, a copy of such advice should be provided to Cayman National.

Cayman National applies strict "know your customer" checks and procedures in accordance with all relevant regulatory and anti-money laundering legislation and codes.

Accordingly, professional references along with independently certified copies of identification documents (as detailed above) are required for all new clients. A detailed understanding of the purpose and background to the establishment of any offshore structure is required (as detailed above) along with documentary support for all major transactions.

Cayman National reserves the right to request any additional detailed documentation it considers necessary to determine the bona fides of any transaction. Failure by a client to provide adequate supporting documentation in respect of any significant transaction may result in the relationship being closed and appropriate reports filed with the relevant regulatory and anti-money laundering authorities.

**DECLARATIONS:**

*This declaration must be signed by the founder prior to the commencement of Foundation formation procedures.*

I confirm that the above information is true and complete and I hereby request Cayman National to commence the procedure leading to the formation of a Foundation on my behalf.

I agree that the Registered Agent fee will be charged annually in advance and additional charges, representing the cost of time spent administering the Foundation, will be charged from time to time in arrears.

I further understand that any quoted fees will be reviewed on an annual basis and any alterations will be discussed and agreed between ourselves. Notwithstanding this point, we understand that fees may be reviewed from time to time in light of prevailing circumstances.

I confirm that I am the founder of the Foundation and any dedication of assets thereto by me are freely transferable and are not subject to any lien, charge or encumbrance nor are they derived from any activity which could be considered illegal or immoral under the law of my country of residence and/or domicile or that of the Isle of Man.

**I hereby confirm the following:-**

1. That in arriving at my decision to form the above Foundation, I have not relied upon any statement made by, or in the name of Cayman National or any director or employee of Cayman National.
2. That I have been advised by a representative of Cayman National that:
  - a. Cayman National is not able to give me tax or legal advice regarding the foundation or to any other person who will or may be involved with the Foundation following its formation, whether as council member or otherwise. Cayman National does not accept any liability for the tax planning consequences of any action taken by the Registered Agent
  - b. I have been recommended by Cayman National that I should obtain appropriate legal and tax advice in:-
    1. my country of residence/domicile;
    2. the country of residence/domicile of any council member or beneficiary whether their beneficial interest be actual or contingent;
    3. the country in which the proposed assets of the Foundation are to be situated and/or registered;
    4. any other country other than those referred to above which may be relevant to me, any other known or possible beneficiary of the Foundation or any known or possible asset of the Foundation; and that such legal and tax advice should be obtained by me prior to the formation of the Foundation and reviewed by me on a regular basis.
  - c. I should obtain the appropriate legal and tax advice in relation to any Company or other entity which may be owned or partially owned by the Foundation.
  - d. I should make enquiries as to, and attend, as appropriate to, any local reporting requirements relating to the Foundation in my country of residence/domicile.
  - e. I acknowledge that Cayman National may be required to disclose information ("the Information Exchange") concerning the Foundation and/or its beneficiaries and/or the assets of the Foundation. Such information may be reported to the USA under the terms of the Foreign Accounts Tax Compliance Act ("FATCA"), to the United Kingdom under the terms of FATCA, or to various countries under the terms of the Common Reporting Standard ("CRS") as developed by the Organisation for Economic Co-operation and Development.
3. That I acknowledge that Cayman National professes no special knowledge of any foreign tax regime, including any tax regime with which the Foundation is connected, and that Cayman National and/or its officers and/or employees will not advise on taxation.
4. That it is solely my responsibility to decide whether or not to take the legal and tax advice referred to above and that Cayman National is under no duty to me to



enquire or ensure that I have sought or implemented such legal and/or tax advice.

5. That in consideration of Cayman National accepting my instruction to form the above Foundation I hereby covenant with you, Cayman National, which will act as Registered Agent of the above-mentioned Foundation, and your staff that I will at all times hereafter hold you and them and their respective personal representatives and estates harmless and fully indemnified against all actions, suits, proceedings, claims, demands, costs (including the costs of legal advice taken by the Registered Agent in relation to the taxation consequences of any instructions given by you at any time), expenses and outgoings which may be taken, made or sought from or against them or incurred or become payable by you or them by reason or in consequence of your accepting my instructions to form the above-named Foundation and acting as Registered Agent thereof.
6. I hereby confirm that I have advised you of:
  - a. all jurisdictions with which I, the Foundation and/or the beneficiaries, may have connection.
  - b. any specific tax planning objectives which I wish to achieve; and any specific requirements that you, Cayman National, as Registered Agent, any officers and employees of Cayman National should take into account regarding tax planning considerations in any jurisdiction.
7. I hereby confirm that in relation to the Information Exchange a self-certification form has been provided to Cayman National in respect of each and every:
  - Founder to the Foundation
  - Council member(s)
  - Enforcer
  - Known Beneficiary
  - Other natural person who has control over the Foundation

Signature \_\_\_\_\_

Date:

Name \_\_\_\_\_